

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Serial No.:** 10/686,686  
**Inventors:** Singer *et al.*  
**Filed:** October 15, 2003  
**Title:** LICENSE MANAGEMENT IN A  
NETWORK MEDIA ENVIRONMENT

**Art Unit:** 2432  
**Examiner:** Lanier, Benjamin E..  
**Confirmation No.:** 9159  
**Docket No.:** 113748-4838US

PETITION REQUESTING CORRECTION OF ASSIGNMENT

Mail Stop Assignment Recordation Services  
Director of the US Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This petition is being submitted in response to a series of typographical errors in assignment introduced by the U.S.P.T.O., resulting in the incorrect assignment of U.S. Patent Application 10/686,686. In response to this petition, Applicant requests correction and proper filing of the assignment pertaining to the present application.

**STATEMENT OF THE FACTS**

1. U.S. Patent Application 10/686,686 was filed on October 15, 2003 based on a Provisional Application filed on December 17, 2002.
2. An assignment for U.S. Patent Application 10/686,686 was executed by the inventors on October 14, 2003 and filed with the U.S.P.T.O. on October 15, 2003, concurrently with the filing of U.S. Patent Application 10/686,686.<sup>1</sup>
3. U.S. Patent Application 10/686,686 was assigned to Sony Corporation and Sony Pictures Entertainment Inc. by the inventors.
4. Due to a **typo-graphical error by the U.S.P.T.O.**, the assignment was incorrectly recorded to U.S. Patent Application 10/686,668.
5. On November 24, 2003, "VIA TELECOM CO., LTD., C/O CARD CORPORATE SERVICES, LTD" (by its attorneys) filed an assignment for U.S. Patent Application 10/686,685.
6. Due to a **typo-graphical error by the U.S.P.T.O.**, the "VIA TELECOM CO., LTD., C/O CARD CORPORATE SERVICES, LTD" assignment was incorrectly recorded to U.S. Patent Application 10/686,686.
7. The assignment filed by "VIA TELECOM CO., LTD., C/O CARD CORPORATE SERVICES, LTD" (for U.S. Patent Application 10/686,685) on November 24, 2003 was **not** provided by any attorney of record for U.S. Patent Application 10/686,686, not signed by the inventors of U.S. Patent Application 10/686,686, nor signed by an Officer of Sony Corporation and Sony Pictures Entertainment Inc.

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<sup>1</sup> A copy of the assignment filed on October 15, 2003 (along with the incorrect Notice of Recordation received from the U.S.P.T.O.) is attached herewith.

**REMARKS**

**A. The U.S.P.T.O. Failed to Properly Assign U.S. Patent Application 10/686,686 to Sony Corporation and Sony Pictures Entertainment, Inc.**

An assignment for U.S. Patent Application 10/686,686 was filed concurrently with the application on October 15, 2003. This assignment assigned U.S. Patent Application 10/686,686 to Sony Corporation and Sony Pictures Entertainment Inc. Due to a typographical error by the U.S.P.T.O., the assignment was incorrectly recorded to U.S. Patent Application 10/686,668. A copy of the assignment submitted by "SONY CORPORATION" and the incorrect assignment by the U.S.P.T.O. is submitted herewith. Clearly evident is the fact that the U.S.P.T.O. incorrectly the assigned U.S. Patent Application 10/686,668 to Sony Corporation and Sony Pictures Entertainment Inc., instead of U.S. Patent Application 10/686,686.

**B. The U.S.P.T.O. Improperly Recorded the Assigned U.S. Patent Application 10/686,686 to "VIA TELECOM CO., LTD., C/O CARD CORPORATE SERVICES, LTD."**

On November 24, 2003, "VIA TELECOM CO., LTD., C/O CARD CORPORATE SERVICES, LTD" (by its attorneys) filed an assignment for U.S. Patent Application 10/686,685. The U.S.P.T.O. incorrectly assigned U.S. Patent Application 10/686,686 to "VIA TELECOM CO., LTD., C/O CARD CORPORATE SERVICES, LTD," instead of assigning U.S. Patent Application No. 10/686,685 to "VIA TELECOM CO., LTD., C/O CARD CORPORATE SERVICES, LTD." It appears the incorrect assignment of November 24, 2003 was the result of a typographical error by the U.S.P.T.O. and is therefore null and void. From the record, it is clear that the prior assignment to Sony Corporation and Sony Pictures Entertainment Inc.,

executed on October 14, 2003 and filed on October 15, 2003, is the only valid assignment for U.S. Patent Application 10/686,686.

**RELIEF**

It is requested that the U.S.P.T.O. properly record the assignment (for this application, U.S. Patent Application 10/686,686) as executed by the inventors on October 14, 2003 and filed with the U.S.P.T.O. on October 15, 2003, assigning the present application to "Sony Corporation," and "Sony Pictures Entertainment Inc."

Petitioner believes no fee is due at this time.

Respectfully submitted,

Dated: December 21, 2009

By: \_\_\_\_\_

  
Samuel Lee  
Reg. No. 42,791

Procopio, Cory, Hargreaves & Savitch LLP  
530 B Street, Suite 2100  
San Diego, California 92101-4469  
(619) 238-1900

Attachment: Assignment and Recordation Document for U.S. Patent Application 10/686,686.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 17, 2004

PTAS

FROMMER LAWRENCE & HAUG LLP  
WILLIAM S. FROMMER  
745 FIFTH AVENUE  
NEW YORK, NY 10151



\*102584177A\*

DOCKET

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/15/2003

REEL/FRAME: 014617/0309

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LAKAMP, BRIAN D.

DOC DATE: 10/14/2003

ASSIGNOR:

SINGER, MITCH F.

DOC DATE: 10/14/2003

ASSIGNEE:

SONY PICTURES ENTERTAINMENT INC.  
10202 WEST WASHINGTON BOULEVARD  
CULVER CITY, CALIFORNIA 90232

ASSIGNEE:

SONY CORPORATION  
7-35 KITASHINAGAWA, 6-CHOME  
SHINAGAWA-KU  
TOKYO 141, JAPAN

FROMMER LAWRENCE & HAUG LLP

014617/0309 PAGE 2

SERIAL NUMBER: 10686668

FILING DATE: 10/17/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: STEERABLE INFRARED IMAGING CATHETER HAVING STEERING FINS

DOCKET NUMBER: 032722-729

STEVEN POST, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

10/15/03

10-27-2003

FLH Ref. No.: 450103-04838

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

102584177

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies)

Mitch F. Slinger  
Brian D. Lakamp

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: Sony Pictures Entertainment Inc.  
Internal Address: 10302 West Washington Boulevard  
Street Address: Culver City, California 90232  
City, State, Zip

Name: Sony Corporation  
Internal Address: 7-35 Kitashinagawa, 6-chome,  
Street Address: Shinagawa-ku, Tokyo 141  
City: Japan  
Country:

Additional name(s) & address(es) attached? ☐ Yes ☒ No17548 U.S. PTO  
10/686686

## 3. Nature of conveyance:

- ☒ Assignment  
☐ Security Agreement  
☐ Mergers  
☐ Change of Name  
☐ Other

Execution Date(s): October 14, 2003

## 4. Application number(s) or patent number(s):

101686686

If this document is being filed together with a new application, the execution date of the application is: October 14, 2003

Entitled: LICENSE MANAGEMENT IN A MEDIA NETWORK ENVIRONMENT

A. Patent Application No(s) filed

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William S. Frommer  
Internal Address: FROMMER LAWRENCE & HAUG LLP  
Street Address: 745 FIFTH AVENUE  
City: NEW YORK State N.Y. Zip: 10151

## 6. Total number of applications and patents involved

## 7. Total fee (\$7 CFR 3.41)

\$ 40.00

☒ Enclosed

Authorized to be charged to deposit account 50-0320

## 8. Deposit account number: 50-0320

(Attach duplicate copy of this page if paying by deposit account)

Do not use this space

## 9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Hans R. Mahr

Name of Person Signing

Signature

October 15, 2003

Date

Total number of pages including cover sheet, attachments, and document 3

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Registration Services  
Director of the US Patent and Trademark Office  
P.O. Box 1450

Alexandria, VA 22313-1450

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-001) I, Washington, D.C. 20503.

00152767

ASSIGNMENT

WHEREAS, I, as below named inventors, residing at the addresses stated next to our names, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in LICENSE MANAGEMENT IN A MEDIA NETWORK ENVIRONMENT for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, SONY PICTURES ENTERTAINMENT INC., a Delaware corporation, with offices at 10202 West Washington Boulevard, Culver City, California 90232, and SONY CORPORATION, a Japanese corporation, with offices at 7-35 Kitashinagawa 6-Chome, Shinagawa-Ku, Tokyo 141, Japan (hereinafter referred to as ASSIGNEES) are desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEES, their successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEES, as the assignees of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEES or their designee(s), as ASSIGNEES or their successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters patent which may be granted for my aforesaid invention, as the ASSIGNEES thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEES will, upon their request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: \_\_\_\_\_, Filing Date: \_\_\_\_\_.

This assignment executed on the dates indicated below.

Mitch F. Singer

Name of first or sole inventor

10/14/03  
Execution date of U.S. Patent Application

Los Angeles, CA

Residence of first or sole inventor

Signature of first or sole inventor

10/14/03  
Date of this assignment



Brian D. Lukamp

Name of second inventor

10/14/2003

Execution date of U.S. Patent Application

Malibu, CA

Residence of second inventor

Signature of second inventor

10/14/2003

Date of this assignment